

Running Aces Nice- Stable

Running Aces Casino and Racetrack expects all persons who hold valid MRC licenses required for participation in Running Aces' racing program or who are otherwise permitted on Running Aces property to comply with all rules and regulations related to the stable area and the racing operation. This includes both RA Nice guidelines and MRC rules and regulations.

Failure to abide by these rules and policies will result in discipline up to and including an exclusion from Running Aces property or a removal order for horses stabled at the facility.

The original RA Nice program covers a range of behaviors including: fighting and harassment, threatening language or behavior, inappropriate sexual or racial comments and behaviors, destruction, damage to or theft of Running Aces property, acts considered criminal, and violations of MRC rules and regulations. This version of RA Nice includes behaviors that are germane to racing operations.

All Racing Participants are expected to know these guidelines and abstain from prohibited behaviors.

1.1 STANDARDS OF CONDUCT

A. GENERAL CONDUCT

Any conduct determined by Running Aces to be injurious to the sport of harness racing or not to be in the best interests of Running Aces Casino, Hotel & Racetrack may result in the imposition of a penalty.

B. OFFENSIVE CONDUCT

- Conduct that undermines or puts at risk the integrity and reputation of the pari-mutuel wagering and gaming industry
- Violation of the Racetrack's safety policies or rules
- Failure to comply with the lawful directions of authorized Running Aces Casino, Hotel & Racetrack representatives
- Misrepresentations in any application or form or other disclosure document or statements made to the Running Aces or to the Minnesota Racing Commission.

C. RACING MISCONDUCT

Examples of such conduct include, but are not limited to:

- Entering a race without the intention of racing;
- Entering a horse into a race or causing a horse to be entered into a race for the benefit of another;
- Frequent scratching of horses entered to race;
- Knowingly receiving a horse through a transfer, or acting as a "program trainer," from/or for individuals not permitted on Racetrack's property or not properly licensed by the Minnesota Racing Commission.
- Failure to have a horse prepared to give anything less than his best effort on the racetrack or publicly insinuating as much.

D. MEDICATION

The presence of any medication in a horse in violation of MRC Chapter 7890, the subsequent confirmation of such an excess by a split sample (if conducted) and the documented verification of such an excess by the MRC – defined herein as a "positive test" - is prohibited. Any Trainer whose horse tests positive for an ARCI Class 1 or 2 drug at Running Aces may be excluded immediately absent mitigating circumstances.

E. BACKSTRETCH RULES

- No Firearms and/or weapons of any kind are permitted on the grounds.
- Smoking is prohibited in all buildings on the grounds including, the barns, racing office & the paddock.
- Intoxication will not be tolerated. All licensees are subject to sobriety tests at ALL times on the backstretch.
- The use, distribution or possession of illegal drugs is not permitted. An illegal drug is any drug which is not legally obtainable or one which is legally obtainable but has not been legally obtained.
- This includes prescription drugs not legally obtained and prescription drugs that were prescribed for someone else.
- No Dogs are permitted on the grounds.
- Heating appliances of any kind, including heating lamps, are not permitted in the barns.
- No sleeping or cooking in tack rooms is permitted. Cooking must be done outdoors and away from the barns.
- Absolutely no ponying or leading of horses at any time while on the premises.
- When using the racetrack, all drivers, trainers and grooms are required to wear safety helmets with chin straps fastened while on the racetrack.
- All Manure must be placed in assigned bins. If the bin is full, use another. No trash in the manure bins.
- No horses may be jogged around the barns when the track is open for training.
- Riding two people on a race bike is prohibited.

F. ANIMAL WELFARE

Any racing participant covered by RA Nice guidelines who handles or treats any animal without regard for the well-being of the animal or causes physical injury or pain or suffering to the animal, including excessive or unnecessary training or whipping may be sanctioned.

Except as required by statute or regulation, it is the sole responsibility of the trainer to ensure that a licensed and competent veterinarian is available to ensure the health and welfare of such trainer's horses or to respond to a request for treatment of a horse(s).

In the event Running Aces receives verifiable information regarding a racing participant who knowingly, or without conducting proper due diligence, buys or sells a horse for slaughter, directly or indirectly, may be sanctioned.

G. TREATMENT OF STAFF & OTHER PARTICIPANTS

Racing Participants agree to fully cooperate with any investigation conducted by Running Aces staff.

No person shall disparage other racing participants, Running Aces customers, Running Aces Casino, Hotel & Racetrack and its staff and vendors in any type of medium. This includes, but is not limited to, disparagement by use of the internet, e-mail, and via any social media such as, Facebook, Twitter, etc.

H. FIRE SAFETY

No person shall move, interfere with, damage or hinder the use of smoke detectors, heat detectors or other fire suppression equipment or signage.

I. SURVEILLANCE/INSPECTIONS

Tamper with surveillance equipment or security markings is prohibited.

Running Aces reserves the right to inspect any vehicle entering, exiting or parked on the grounds as well as any piece of equipment brought onto or into grounds of Running Aces by any individual.

K. PAST CONDUCT OR SANCTIONS

Past conduct and/or sanctions having occurred at Running Aces Casino, Hotel & Racetrack, or at other facilities may be considered when imposing a penalty.

J. CARE FOR RUNNING ACES PROPERTY

Damage to Running Aces property or equipment whether by person or animal is prohibited.

1.2 REPORTING VIOLATIONS AND WHISTLEBLOWER PROTECTION

Individuals may, in good faith, report violations or suspected violations to the Director of Racing. Retaliation of any kind against any person reporting a suspected violation is prohibited.

Any allegation which proves to have been made maliciously or to knowingly be false is prohibited.

2.1 PENALTIES

A violation of any of the rules and policies set forth in this Code of Conduct may lead to the imposition of penalties against Racing Participants, including but not limited to:

- A verbal/written warning
- A temporary suspension or permanent loss of stabling and/or racing privileges at Running Aces
- A removal order for horses stabled on the grounds
- Being excluded from Running Aces Casino, Hotel & Racetrack property
- Loss of racing privileges

Violation Type				
Verbal Acts	1st Offense	2nd Offense	3rd Offense	4th Offense
Verb-Indirect- includes use of social media	V/W-Staff	V/W-Staff	V/W or Exclusion	Exclude- 7 day
<i>Create negative public image</i>	30 day Exclusion	Exclusion- Permanent		
Verb-Direct	V/W-Supervisor	Eviction	Exclusion- 90 day	Exclusion- Perm
<i>Abusive toward staff</i>	Written Warning	Loss of Racing- 7days	Exclusion- Meet	
Verb-Except, includes sexual language	V/W, Evict, Exclusion	Exclusion- 30 day	Exclusion- Permanent	
<i>Harassing/discriminatory</i>	Eviction	Loss of Racing- 7 days	Exclusion- Meet	
Threats- (Distinguish comments from threats, includes threats of a sexual nature)	Exclusion-7 day	Exclusion- 30 day	Exclusion- Permanent	** Threats implying a loss of life- Min 1 year
<i>Threatening</i>	Exclusion- 7 day	Exclusion- Meet	Exclusion- Permanent	
Physical Acts	1st Offense	2nd Offense	3rd Offense	4th Offense
Throw-Indirect	Eviction	Exclusion – 30 day	Exclusion- Perm	
Throw-Direct	Exclusion- 30 day	Exclusion – Permanent	**Spitting enhanced to 6 months	**Object likely to injure- 6 months
Theft/Damage to Property	Exclusion- 6 month	Exclusion- 1 Year	Exclusion-Permanent	
Physical Contact- Casual	V/W, Eviction	Exclusion – 7 to 30 days	Exclusion – 1 year	Exclusion- Perm
Sexual	Exclusion– 6 month	Exclusion- Permanent		
Fighting	Exclusion-6 month	Exclusion- Permanent		
<i>Acts of Violence</i>	Exclusion for Meet	Exclusion- Permanent		
Bodily Function Violation	Exclusion- 90 Day	Exclusion- 6 month	Exclusion- 1 year	Exclusion-Perm
Disorderly Acts- offensive remarks, minor rules violations, failure to obey of RA employees	Verbal Warning, Eviction	Evict, Exclusion- 1 to 30 days	Exclusion- 90 days-6 months	Exclusion- 6 months to permanent
<i>Failure to comply with directives</i>	Written Warning, Eviction	Loss of Racing- 7 days	Exclusion- Meet	

Alcohol/Drugs *	1st Offense	2nd Offense	3rd Offense	4th Offense
Intoxication/Impairment- Racing related	Loss of Racing- 3 days	Exclusion- 14 days	Exclusion- 30 days	
Illegal or Illicit drug use/possession	Referred for Prosecution/ Exclusion-Meet			
Racing Misconduct	1st Offense	2nd Offense	3rd Offense	4th Offense
Paperwork-				
<i>1. Fail to complete</i>	Written Warning	Loss of Racing- 3 days	Loss of Racing- 14 days	
<i>2. Misrepresenting or inaccurate</i>	Loss of Racing- 3 days	Loss of Racing- 30 days	Exclusion- Meet	
Medication/Drugs Violation <i>Class 1 or 2</i>	Exclusion- Meet	Exclusion Permanent		*Penalty imposed absent mitigating circumstance
Animal Welfare Violation	Loss of Racing- 7 days	Loss of Racing- 30 days	Exclusion- Meet	
Safety and Surveillance	Written Warning,	Exclusion- 7 day	Exclusion- Meet	
MRC requirements and racing rules-				
<i>1. Backstretch Rules</i>	Written Warning, Eviction	Loss of Racing- 3 day	Exclusion- Meet	
<i>2. Entering horse for other's benefit</i>	Loss of Racing- 7 days	Exclusion- Meet		
<i>3. Acting as program trainer</i>	Exclusion- Meet	Exclusion- Permanent		

*Eviction- is from property, refers to the individual, and extends for 24 hours.

Horses permitted to race.

*Exclusion- is from property, refers to the individual, and is for an extended period of time.

Horses permitted to race.